

#3

**HANDAL & MOROFSKY**

Counsellors at Law

80 Washington Street  
Norwalk, Connecticut 06854

*Sector*

Telephone: 203-838-8589  
Facsimile: 203-838-8794

Paul Morofsky 1926-1986

District of Columbia Office  
American Center - Tysons Corner  
Suite 500  
8300 Boone Boulevard  
Vienna, Virginia 22182

June 23, 1999

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington D.C. 20231

RE: Leslie STROH  
United States Patent Application No. 09/264,171  
Filed: March 5, 1999 Atty. Dkt. No.: STROH  
TRADE FINANCING METHODS, INSTRUMENTS AND SYSTEMS

SIR:

We enclose herewith:

- ☒ Notice of Missing Parts (copy)
- ☒ Petition for Extension of Time
- ☒ Declaration
- ☒ Small Entity Statement
- ☒ Information Disclosure Statement
- ☒ Six (6) Copies of U.S. Patents
- ☒ Seven (6) Copies of Other References
- ☒ Check for \$688.00 (Trade Finance Service Corp.)
- ☒ Check for \$55.00 (Handal and Morofsky)
- ☒ Acknowledgement Postcard

The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 08-0570.

Applicant hereby petitions under 37 CFR 1.136 or other applicable rule to have the response period extended the number of months necessary to render the attached communication timely if a petition is required.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 6/23/99

Anthony H. Handal  
Reg. No. 26,275

Respectfully submitted,

*Anthony H. Handal*  
Anthony H. Handal  
Reg. No. 26,275



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/264,171	03/05/99	STROH	L STROH

ANTHONY H HANDAL  
HANDAL & MOROFSKY  
80 WASHINGTON STREET  
NORWALK CT 06854

022270323

JUN 25 1999

NOT ASSIGNED

2761

DATE MAILED:

03/23/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION

*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1,376.

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 252 for 14 total claims over 20.

\$ 234 for 3 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

06/29/1999 SDUONG 00000110 09264171

01 FC:201	380.00 OP
02 FC:205	65.00 OP
03 FC:203	126.00 OP
04 FC:202	117.00 OP

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

May 23, 1999, Jun 23, 1999

BEST AVAILABLE COPY